

GATEFREAKS

GATE/NET/PSU

COMPUTER SCIENCE

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**Design and analysis of Algorithm :  
Shortnotes**

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# Key concepts on Design and Analysis of Algorithms

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## 1. Useful Formulae:

(a)  $1 + 2 + 3 + \dots + n = \frac{n(n+1)}{2}$

(b)  $1^2 + 2^2 + 3^2 + \dots + n^2 = \frac{n(n+1)(2n+1)}{6}$

(c)  $1^3 + 2^3 + 3^3 + \dots + n^3 = \frac{(n(n+1))^2}{4}$

(d)  $a + (a + d) + (a + 2d) \dots a + (n - 1)d = \frac{n(2a + (n-1)d)}{2}$

(e)  $a + ar + ar^2 \dots ar^{n-1} = \frac{a(r^n - 1)}{(r - 1)}$

(f)  $1 + 2 + 2^2 + 2^3 \dots + 2^n = 2^{n+1} - 1$

(g)  $1 + x + x^2 + \dots = \frac{1}{1-x}$

(h)  $1 + x^n + x^{2n} + x^{3n} \dots = \frac{1}{1-x^n}$

(i)  $x + 2x^2 + 3x^3 + 4x^4 \dots = \frac{x}{(1-x)^2}$

(j)  $e^x = 1 + x + \frac{x^2}{2!} + \frac{x^3}{3!} + \dots$

(k)  $\sum_{k=0}^n {}^n C_k = 2^n$

(l)  $\log_2 2 + \log_2 3 + \log_2 4 + \dots + \log_2 n = \log_2 n! \approx \log_2 n^n = n \log_2 n$

(m)  $\log AB = \log A + \log B$

(n)  $X^{\log_2 Y} = Y^{\log_2 X}$

(o)  $\log A/B = \log A - \log B$

(p)  $\log X^Y = Y \log X$

(q)  $\log_x a = \frac{\log_2 a}{\log_2 x}$

(r)  $\lg * n$ : Number of times applying log repeatedly to get value 1

(s)  $\lg * (\lg n) = \lg * n - 1$

2.  $O(\frac{1}{n}) < O(1) < O(\log_2 \log_2 n) < O(\sqrt{\log_2 n}) < O(\log_2 n) < \sqrt{n} < O(n) < O(n \log_2 n) < O(n^2) < O(n^2 \log_2 n) < O(n^3) < O(n^{\log_2 n}) < O(2^n) < O(n!) < O(n^n)$

## 3. Asymptotic notations

(a) Asymptotic notations ( $\Omega, \theta, O$  follow symmetric, reflexive and transitive property.

(b) If  $f(n) = O(g(n))$  &  $g(n) = O(h(n))$  then  $f(n) = O(h(n))$ , Likewise transitive property hold for  $\Omega, \theta$  also.

(c) If  $f(n) = O(g(n))$  &  $d(n) = O(h(n))$  then  $f(n) + d(n) = \max(g(n), h(n))$

(d) If  $f(n) = O(g(n))$  &  $d(n) = O(h(n))$  then  $f(n) * d(n) = O(g(n) * h(n))$

(e)  $\{n, 100n + \log_2 n^5 + \frac{n}{10}, \frac{n^2}{n \log_2 n} + \frac{n}{200} + 50, 1000 \log_2 n + (\log_2 n)^{50} + 20n\} = \theta(n) = O(n) = O(n^2) = \Omega(1) = \Omega(\log_2 n) = \Omega(n)$

$$(f) \{n^2, 1000n + n \log_2 n^5 + \frac{1000n}{5}, \frac{100n^2}{5} + \frac{n^3}{n+50} + 150, n \log_2 n + (\log_2 n)^{50} + 20n\} = O(n^2) = \theta(n^2) = O(n^3) = O(n^4) = \Omega(1) = \Omega(n \log_2 n) = \Omega(n^2)$$

#### 4. Applications of Divide and conquer

##### (a) Finding maximum and minimum

- i. Recurrence Relation:  $T(n) = 2T(\frac{n}{2}) + 2$
- ii. Time complexity :  $1.5n - 2 = O(n)$

##### (b) Power of an element

- i. Recurrence Relation:  $T(n) = T(\frac{n}{2}) + 1$
- ii. Time complexity :  $O(\log_2 n)$

##### (c) Binary search

- i. Used with Sorted array.
- ii. Recurrence Relation:  $T(n) = T(\frac{n}{2}) + 1$
- iii. Time complexity WC:  $O(\log_2 n)$ , AC:  $O(\log_2 n)$ , BC:  $O(1)$

##### (d) Merge sort

- i. out-place Sorting algorithm.
- ii. Divide takes  $O(1)$  time , combine takes  $O(n)$  time at every step.
- iii. Merging 2-sorted array of size  $m$  and  $k$  takes  $\theta(m + k)$  time
- iv. Recurrence Relation:  $T(n) = 2T(\frac{n}{2}) + n$
- v. Time complexity WC:  $O(n \log_2 n)$ , AC:  $O(n \log_2 n)$ , BC:  $O(n \log_2 n)$
- vi. Not suitable for smaller size array

##### (e) Quick sort

- i. In-place , unstable sorting algorithm
- ii. Not suitable for the sorted or partially sorted array (worst case arises)
- iii. Partition procedure take  $O(n)$  time , which partition array in 2 parts.
- iv. Best case of quick sort occur if array is divided in two part  $k$  and  $m$ , such that  $k \approx m$
- v. Recurrence Relation for the best case:  $T(n) = 2T(\frac{n}{2}) + n$
- vi. Time complexity in best case (BC):  $O(n \log_2 n)$
- vii. Recurrence Relation for the worst case:  $T(n) = T(n - 1) + T(1) + n$
- viii. Time complexity in worst case (WC):  $O(n^2)$
- ix. Recurrence Relation for the Average case:  $T(n) = T(\alpha n) + T((1 - \alpha)n) + n$ ,  $\alpha * n \neq k$ ,  $k$  is constant
- x. Time complexity in average case (AC):  $\Omega(n \log_{1/\alpha} n) = O(n \log_{1/(1-\alpha)} n) = \theta(n \log_2 n) \{ \because \alpha > (1 - \alpha) \}$

##### (f) Strassen's Matrix multiplication

- i. Recurrence Relation :  $T(n) = 7T(\frac{n}{2}) + 18(\frac{n}{2})^2$
- ii. Time Complexity :  $O(n^{2.81})$

#### 5. Insertion Sort

- (a) Time complexity , BC:  $O(n)$ , AC:  $O(n^2)$ , WC:  $O(n^2)$
- (b) Suitable when array size is small or array is almost sorted.
- (c) Best case arises when array is sorted in non-decreasing order and worst case arises when array is sorted in reverse order (non-increasing) order
- (d) Number of comparisons in average case:  $O(n^2)$ , Number of swaps:  $O(n^2)$

#### 6. Selection Sort

- (a) Time complexity , BC:  $O(n^2)$ , AC:  $O(n^2)$ , WC:  $O(n^2)$
- (b) To sort an array of size  $n$ , it requires  $(n - 1)$  passes and in every pass exactly one swap and at-most  $n$  comparisons.
- (c) Selection sort requires minimum number of swaps as compared to other comparison based sorting algorithms

## 7. Heap Sort

- (a) Time complexity , BC: $O(n \log_2 n)$ , AC: $O(n \log_2 n)$ , WC: $O(n \log_2 n)$
- (b) Almost complete binary tree(ACBT) and complete binary tree(CBT) are used for implementation of heap sort.
  - i. Number of nodes at level  $i$  in CBT= $2^{i-1}$ , root at level 1.
  - ii. Number of nodes in CBT having level  $i = 2^i - 1$
  - iii. Number of levels in CBT having  $n$  nodes = $\log_2(n + 1)$
- (c) There are two types of heap tree : Max heap and Min heap.
- (d) Build min heap , build max heap takes  $O(n)$  time.
- (e) Insertion, deletion takes  $O(\log_2 n)$  time in min heap and max heap, more precisely  $O(h)$ ,  $h$  is the height of the heap tree.
- (f) Time complexity of heap sort, BC: $O(n \log_2 n)$ , AC: $O(n \log_2 n)$ , WC: $O(n \log_2 n)$
- (g) Deleting  $n^{\text{th}}$  largest element from max-heap takes  $O(n)$  time, likewise Min-heap takes  $O(n)$  time for deleting  $n^{\text{th}}$  smallest element.

## 8. Radix Sort

- (a) Time complexity ,  $\theta((n + k)d)$ ,  $n$  :Count of integers,  $k$ :base,  $d$ :number of digits

## 9. Greedy Approach

- (a) Job sequencing with deadline
  - i. sorting job decreasing order according to of deadline =  $O(n \log_2 n)$
  - ii. Finding slot for each job in array of size  $n = O(n^2)$
  - iii. Time complexity =  $O(n \log_2 n) + O(n^2) = O(n^2)$
- (b) Fractional knapsack : Time complexity  $O(n \log n)$
- (c) Huffman encoding
  - i. generally used for data compression
  - ii. Time complexity is  $O(n \log n)$
- (d) Minimum cost spanning Tree
  - i. Prims algorithm
    - A. Time complexity using, binary heap: $O(V \log V + E \log V)$ , fibonacci heap: $O(E + V \log V)$ , Binomial heap: $O(V + E)$
    - B. Best data structure to implement Prims algorithm is fibonacci heap, So consider time complexity  $O(E + V \log V)$  (by default if implementation data structure is not specified)
    - C. When graph is dense, time complexity is  $O(V^2)$ (fibonacci heap)
    - D. For dense graph ,Prims algorithm is better than Kruskal algorithm
  - ii. Kruskal's algorithm
    - A. Time complexity is  $O(E \log E + V)$
    - B. When graph is dense, time complexity is  $O(V^2 \log V)$
    - C. When graph is sparse then its performance is better than Prims algorithm
- (e) Optimal Merge pattern, time complexity is  $O(n \log n)$
- (f) Single source shortest path
  - i. Dijkstra's algorithm
    - A. Find single source shortest path for weighted graph
    - B. Time complexity using, Binary min heap: $O((V + E) \log V)$ , Fibonacci heap:  $O(E + V \log V)$ , Binomial min heap: $O(V + E)$ .
    - C. It can not handle negative edge weight efficiently.
  - ii. Bellman Ford algorithm , works like dijkstra algorithm but it handle negative cycle efficiently , runs in  $O(VE)$  time.

## 10. Dynamic Programming

- (a) Fibonacci series, time complexity is  $O(n)$
- (b) Longest common subsequence
- Recurrence Relation  $T(n, m) = \begin{cases} T(n-1, m-1) + O(1), & \text{if } (X[m-1] = Y[n-1]) \\ T(n-1, m) + T(n, m-1) + O(1) & \text{otherwise} \end{cases}$
  - Time Complexity  $T(m, n) = 2^{\max(m,n)}$  with-out using dynamic programming and  $O(n*m)$  using dynamic programming
- (c) Matrix chain multiplication
- Recurrence Relation  $T(n, m) = \begin{cases} 0, & \text{if } (n = m) \\ \min(T(n, k) + T(k+1, j) + p_{i-1}p_kp_j) & \text{if } i < j \end{cases}$
  - Time Complexity  $T(n) = n^3$ ,  $n$  denotes the sequence matrices of length  $n$
- (d) Multi-stage Graph
- (e) Traveling Salesman problem, time complexity  $(n^2 * 2^n)$
- (f) All pair shortest Path
- Floyd-Warshall algorithm is used
  - Time complexity is  $O(V^3)$
  - Using Warshall algorithm, Transitive closure of a graph can be calculated in  $O(V^3)$  time.
- (g) 0/1 knapsack, time complexity be  $O(nW)$  where  $n$  is the number of items and  $W$  is the restriction on weight.
- (h) Sum of the subsets problem, time complexity  $O(nS)$ ,  $n$  is the sequence of integers in array and  $S$  is the Sum.
- (i) Optimal Binary search tree, runs in  $O(n^3)$  time.

#### 11. Breadth First Search(BFS)

- Time complexity :  $O(|V| + |E|)$ .
- Uses Queue data structure
- Result in level order traversal for a tree if starting node is root.
- Used to find if there is a path between two vertices.
- Used to find single source shortest path and spanning tree.
- Used for Cycle detection in undirected graph.
- Used for finding all nodes within one connected component.
- FordFulkerson algorithm which runs in  $O(VE^2)$ , uses Breadth First search to find the maximum flow.
- Crawlers build index using BFS in Search Engines.
- Used to check if graph is bipartite.

#### 12. Depth First Search(DFS)

- Time complexity :  $O(|V| + |E|)$ .
- Uses Stack data structure.
- For an unweighted graph, DFS traversal of the graph produces the minimum spanning tree and all pair shortest path tree.
- Used for Cycle detection in undirected graph.
- Used to find if there is a path between two vertices.
- Used to find Topological Sorting.
- Used to check if graph is bipartite.
- Finding Strongly Connected Components of a directed graph.
- Solving puzzles with only one solution, such as mazes.
- Finding bridge in the graph.
- Used for Garbage Collection.

#### 13. Hashing

- (a) Used for efficient searching
- (b) Searching ,insertion , deletion takes  $O(1)$  time in best case and  $O(n)$  time in worst case.
- (c) A good hash function must be chosen to avoid collision.Commonly used hash function is modulo function , for example  $h(k) = k \bmod m$  ,  $k$  is the key value and  $m$  is table size.
- $m$  should not be power of 2,because if  $m = 2^p$ , then  $h(k)$  is just  $p$  lowest order bits of  $k$ .
  - $m$  should be a prime number but it should not be very close to the power of 2.
- (d) Let  $m$  be the size of hash table and  $n$  be number of keys so load factor ( $\alpha$ )is defined by  $n/m$
- (e) Collision resolution techniques
- Chaining(open hashing)
    - Keys are not stored in the hash table , only pointer to the linked list is stored in hash table.
    - Insertion takes  $O(1)$  time
    - In successful search , on average we have to traverse the half length of linked list so time complexity is  $O(1+\alpha/2)$  which is equivalent to  $O(1+\alpha)$ .
    - .In case of unsuccessful search , we have to traverse the complete linked list at a given slot so total time is  $O(1+n/m)$  or  $O(1+\alpha)$
    - Time for deletion is same as of insertion.
  - Open addressing(close hashing)
    - Linear probing
      - All keys are stored in the hash table.
      - Hash function:  $h(k) = (k + i) \bmod m$ ,  $i$  is probe number.
      - After every deletion a Marker sign(X) ( which signify the key value has been deleted and slot is empty ) is inserted.
      - Main problem is primary clustering
    - Quadratic probing
      - All keys are stored in the hash table.
      - Hash function:  $h(k) = (k + i^2) \bmod m$ ,  $i$  is probe number.
      - Main problem is Secondary clustering
    - Double hashing
      - All keys are stored in the hash table.
      - Hash function:  $h(k) = (k + i * h'(k)) \bmod m$ ,  $i$  is probe number,  $h'(k)$  is another hash function.

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